

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re the application of: Gordon G. Wong, et al.**

**Serial No.:** 09/822,849

**Filed:** March 29, 2002

**For:** "Polynucleotides Encoding  
Novel Secreted Proteins"

**Attorney Docket No.:** GIN-6403

**Group Art Unit:** Not Yet Assigned

**Examiner:** Not Yet Assigned

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**Certificate of First Class Mailing under 37 CFR §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date set forth below.

August 29, 2001

Date of Signature and of Mail Deposit

By:

Debra J. Milasincic, Esq.

Reg. No. 46,931

Attorney for Applicants

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

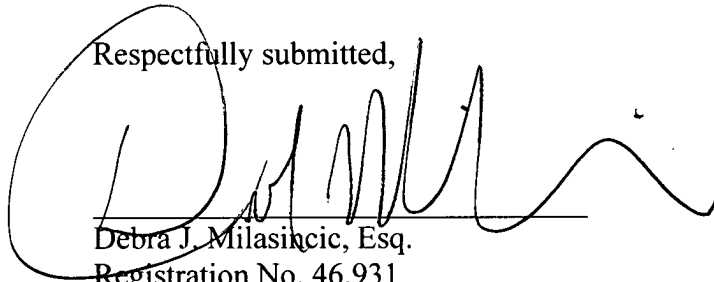
Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated May 8, 2001, Applicants' attorney submits herewith six (6) executed Declaration, Petition and Powers of Attorney for Patent Application for the above-identified patent application. A check in the amount of \$ 1,776.00 is enclosed to cover the total amount owed by Applicants including \$130.00 surcharge, \$710.00 basic filing fee, \$666.00 additional claim fee(s) for 37 total claims over 20 and \$270.00 multiple dependant claim surcharge based on large entity status. A copy of the Notice to File Missing Parts of Non-Provisional Application is also enclosed. A separate request for an extension of time is being filed concurrently herewith.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. *A duplicate of this letter is enclosed.*

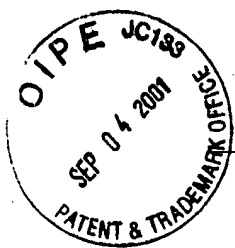
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Debra J. Milasincic', is written over a horizontal line. The signature is fluid and cursive.

Debra J. Milasincic, Esq.  
Registration No. 46,931  
Attorney for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: August 29, 2001



LAHIVE  
&  
COCKFIELD  
L L P

COUNSELLORS AT LAW  
28 STATE STREET  
BOSTON, MASSACHUSETTS 02109-1784  
TELEPHONE (617) 227-7400  
FAX (617) 742-4214  
lc@lahive.com

JOHN A. LAHIVE, JR. (1928-1997)  
THOMAS V. SMURZYNSKI  
RALPH A. LOREN  
GIULIO A. DeCONTI, JR.  
ANN LAMPORT HAMMITTE  
ELIZABETH A. HANLEY  
AMY BAKER MANDRAGOURAS  
ANTHONY A. LAURENTANO  
KEVIN J. CANNING  
JANE E. REMILIARD  
DeANN FORAN SMITH  
PETER C. LAURO  
JEANNE M. DIGIORGIO  
DEBRA J. MILASINCIC, Ph.D.  
DAVID J. RIKKERS  
DAVID R. BURNS  
JOHN S. CURRAN  
SEAN D. DETWEILER

AP  
CYNTHIA L. KANIK, Ph.D.  
MEGAN E. WILLIAMS, Ph.D.  
RICHA NAND  
MICHAEL PHILLIPPS  
LISA M. DIROCCO

SENIOR COUNSEL  
JAMES E. COCKFIELD

OF COUNSEL  
JEREMIAH LYNCH  
WILLIAM A. SCOFIELD, JR.

PATENT AGENTS  
PETER S. STECHER  
THEODORE R. WEST  
SHAYNE Y. HUFF, Ph.D.  
HATHAWAY P. RUSSELL

sector #  
Box 50  
TECHNICAL SPECIALISTS  
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.\*\*  
CYNTHIA M. SOROOS  
PETER W. DINI, Ph.D.  
EUIHOON LEE  
JENNIFER K. ROSENFELD  
ALLAN TAMESHTIT, Ph.D.  
CATHERINE E. McPHERSON  
ERIC F. WAGNER, Ph.D.  
SHAHID HASAN, Ph.D.  
JACOB G. WEINTRAUB

\* Admitted in NY only  
\*\* Passed the Patent Bar Examination

August 29, 2001

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/822,849  
Inventors: Gordon G. Wong, et al.  
Filed: March 29, 2001  
Title: *Polynucleotides Encoding Novel Secreted Proteins*  
Attorney Docket No.: GIN-6403

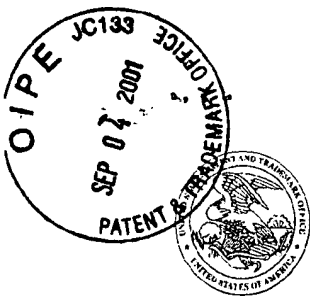
Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application (*in duplicate*);
2. Request for Two-Month Extension of Time (*in duplicate*);
3. Six (6) Executed Declaration, Petition and Power of Attorney (42 pages);
4. Transmittal Letter for Diskette of Sequence Listing;
5. Sequence Listing Diskette;
6. Paper Copy of Sequence Listing (numbered pages 1-311);
7. Copy of Notice to File Missing Parts of Non-provisional Application;
8. Check in the amount of \$1,776.00 (consisting of \$936.00 extra claims fee, \$710.00 application filing fee and \$130.00 surcharge/late filing fee);
9. Check in the amount of \$390.00 (extension fee based on large entity status); and
10. A return postcard.

Please charge any deficiencies associated with this communication to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *A duplicate of this sheet is enclosed.*

<p><b>Certificate of First Class Mailing (37 CFR 1.8(a))</b></p> <p>I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on:</p> <p>August 29, 2001 Date</p> <p>Debra J. Milasincic, Esq., Reg. No. 46,931</p>	<p>Respectfully submitted,</p> <p>LAHIVE &amp; COCKFIELD, LLP</p> <p>Debra J. Milasincic, Esq. Reg. No. 46,931</p>
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/822,849	03/29/2001	Gordon G. Wong	GIN-6403

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 8494

## FORMALITIES LETTER



\*OC000000006050870\*

Date Mailed: 05/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$936.
  - \$666 for 37 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1776.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

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01 FC:101  
02 FC:103  
03 FC:104  
04 FC:105

patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE